

Docket No. 251696US0

IN RE APPLICATION OF: Isamu YONEKURA, et al.

SERIAL NO: 10/820,056

FILED: April 8, 2004

FOR: COMPOSITION FOR FORMING A COATING FILM, METHOD OF PREPARING THE COMPOSITION, TANTALUM OXIDE FILM AND METHOD OF FORMING THE TANTALUM OXIDE FILM



COMMISSIONER FOR PATENTS  
ALEXANDRIA, VIRGINIA 22313

SIR:

Transmitted herewith is an amendment in the above-identified application.

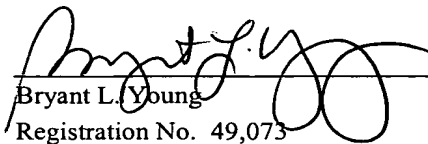
- ☒ No additional fee is required
- ☐ Small entity status of this application under 37 C.F.R. §1.9 and §1.27 is claimed.
- ☐ Additional documents filed herewith:

The Fee has been calculated as shown below:

| CLAIMS      | CLAIMS<br>REMAINING |  | HIGHEST<br>NUMBER<br>PREVIOUSLY<br>PAID | NO.<br>EXTRA<br>CLAIMS | RATE      | CALCULATIONS |
|-------------|---------------------|--|---|------------------------|-----------|--------------|
| TOTAL       | 17                  | MINUS  | 20                                      | 0                      | x \$50 =  | \$0.00       |
| INDEPENDENT | 1                   | MINUS  | 3                                       | 0                      | x \$200 = | \$0.00       |
|             |                     | <input type="checkbox"/> MULTIPLE DEPENDENT CLAIMS                   |   |                        | + \$360 = | \$0.00       |
|             |                     | TOTAL OF ABOVE CALCULATIONS  |   |                        |           | \$0.00       |
|             |                     | <input type="checkbox"/> Reduction by 50% for filing by Small Entity |   |                        |           | \$0.00       |
|             |                     | <input type="checkbox"/> Recordation of Assignment                   |   |                        | + \$40 =  | \$0.00       |
|             |                     | TOTAL  |   |                        |           | \$0.00       |

- ☐ A check in the amount of \$0.00 is attached.
- ☐ Credit card payment form is attached to cover the fees in the amount of \$0.00
- ☒ Please charge any additional Fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit Account No. 15-0030. A duplicate copy of this sheet is enclosed.
- ☒ If these papers are not considered timely filed by the Patent and Trademark Office, then a petition is hereby made under 37 C.F.R. §1.136, and any additional fees required under 37 C.F.R. §1.136 for any necessary extension of time may be charged to Deposit Account No. 15-0030. A duplicate copy of this sheet is enclosed.

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DOCKET NO: 251696US0



IN THE UNITED STATES PATENT & TRADEMARK OFFICE

IN RE APPLICATION OF :  
ISAMU YONEKURA, ET AL. : EXAMINER: CAMERON, E.  
SERIAL NO: 10/820,056 :  
FILED: APRIL 8, 2004 : GROUP ART UNIT: 1762  
FOR: COMPOSITION FOR FORMING A COATING :  
FILM, METHOD OF PREPARING THE COMPOSITION,  
TANTALUM OXIDE FILM AND METHOD OF  
FORMING THE TANTALUM OXIDE FILM

AMENDMENT AND

RESPONSE TO REQUIREMENT FOR RESTRICTION AND ELECTION OF SPECIES

COMMISSIONER FOR PATENTS  
ALEXANDRIA, VIRGINIA 22313

SIR:

This is responsive to the Requirement for Restriction and Election of Species dated August 12, 2005.

Applicants elect Group III, claim 9, drawn to a method of coating, with traverse.

Applicants provisionally elect the following species:

- A) c) wherein the compound is carboxylic anhydride;
- D) i) wherein the carboxylic anhydride is formula (5);
- E) j) wherein the carboxylic anhydride is maleic anhydride;
- F) l) wherein the reaction product is formula (7); and
- G) m) thermally treating the coating film.

Claims 9 and 12-17 are readable thereon.

Applicant requests that if the elected species is found allowable the Examiner expand the search to non-elected species.

**Amendments to the Claims** are reflected in the listing of claims which begins on page 2 of this paper.

**Support for the Amendment** begins on page 8 of this paper.

**Remarks** begin on page 9 of this paper.